

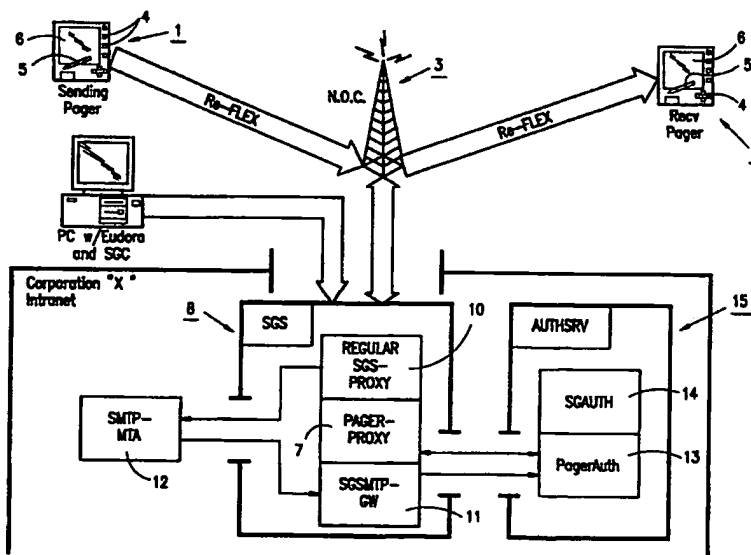


## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>H04L 9/08</b>	<b>A1</b>	(11) International Publication Number: <b>WO 99/34553</b> (43) International Publication Date: 8 July 1999 (08.07.99)
---	-----------	--

(21) International Application Number: PCT/US98/27531

(22) International Filing Date: 30 December 1998 (30.12.98)

(30) Priority Data:  
09/001,463 31 December 1997 (31.12.97) US(71) Applicant: V-ONE CORPORATION [US/US]; Suite 300,  
20250 Century Boulevard, Germantown, MD 20874 (US).(72) Inventors: WRIGHT, Steven, R.; Apartment 21, 12010 Wa-  
terside View Drive, Reston, VA 20194 (US). BROOK,  
Christopher, T.; 7308 Pomander Lane, Chevy Chase, MD  
20815 (US).(74) Agents: URCIA, Benjamin, E. et al.; Bacon & Thomas, PLLC,  
4th floor, 625 Slaters Lane, Alexandria, VA 22314 (US).(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR,  
BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD,  
GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP,  
KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK,  
MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG,  
SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW,  
ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW),  
Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),  
European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR,  
GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF,  
BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN,  
TD, TG).**Published***With international search report.**Before the expiration of the time limit for amending the  
claims and to be republished in the event of the receipt of  
amendments.*(54) Title: KEY ENCRYPTION SYSTEM AND METHOD, PAGER UNIT, AND PAGER PROXY FOR A TWO-WAY ALPHANU-  
MERIC PAGER NETWORK

## (57) Abstract

A method and system allows encryption services to be added to an existing wireless two-way alphanumeric pager (4) network by providing a pager proxy (7) which is arranged to receive an encrypted message from a sending pager (1) and re-packages it for retransmission to the destination pager (2). The sending pager encrypts the message using a session key, and encrypts the session key so that it can only be recovered by a secret key of the pager proxy. Authentication (13) of the sending pager and proxy server is provided by encryption of the session keys together with identifying data, and authentication of the message is provided by a message authentication code generated by computing a message authentication code based on the session key, identifying data, and the message.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

**KEY ENCRYPTION SYSTEM AND METHOD,  
PAGER UNIT, AND PAGER PROXY FOR  
A TWO-WAY ALPHANUMERIC PAGER NETWORK**

**BACKGROUND OF THE INVENTION**

5    1.    Field of the Invention

         This invention relates to a system and method of encrypting messages for transmission and/or receipt by a pager, and in particular to a system and method for which uses a standard two-way wireless pager protocol to send encrypted messages over an existing paging infrastructure. The invention also relates to a pager unit capable of  
10    sending and receiving encrypted alphanumeric messages over a wireless pager network, and to a pager proxy server which provides key management functions for enabling transmission of encrypted alphanumeric messages over the wireless pager network.

2.    Description of Related Art

         Paging systems capable of transmitting simple alphanumeric messages and  
15    displaying the messages on a miniature two-way pager are becoming increasingly popular. Such two-way paging systems enable messages like "Meet me at the gym at 6:00" or "I love you" to be both transmitted and received by equipment that is smaller, less complex, and less intrusive than a wireless telephone. The messages are transmitted as packets containing source and destination address data formatted for transmission over

the response channel of a wireless paging network, using a protocol that allows users to respond to messages directly from their pager units without having to use a telephone.

Two-way pagers are currently offered by Motorola and Wireless Access, with national paging services being provided by MTEL, which uses Motorola's Re-FLEX™  
5    paging protocol. The Re-FLEX™ paging protocol allows users to respond to messages using a selection of pre-programmed responses or by formatting a free-form text reply, and in addition includes a TCP/IP protocol stack that allows the user to initiate messages to subscribers on wired networks, including e-mail and fax machine addresses.

The present invention concerns a method and system for encrypting and  
10    authenticating messages transmitted over the existing pager system, using the Re-FLEX™ protocol, or over other yet-to-be-implemented paging systems in the U.S. and elsewhere which may or may not use the Re-FLEX™ protocol. Unlike previously proposed arrangements, which either rely on complex encoding schemes and sophisticated hardware at the sending and destination ends of a transmission, over  
15    transfer of keys and authentication of keys using a telephone rather than the wireless network, the present invention offers the advantages of (i) providing authenticable key encryption of messages at the source of the transmission and key decryption at the destination, with protection of the communication by keys that are unique to each pager, rather than shared, and yet with no need for a key exchange between the sending and  
20    destination pagers, (ii) using existing two-way pager designs and paging system infrastructure, and (iii) providing the encryption capabilities without adding to carrier overhead. The addition of full key encryption and authentication capabilities to an existing pager system without adding to carrier overhead or capital costs distinguishes the system and method of the invention from all previously proposed pager encryption  
25    schemes.

An example of a previously proposed pager encryption scheme is described in

U.S. Patent Nos. 5,452,356 and 5,481,255, assigned to Data Critical Corp. Although the term "encryption" is used in these patents, the patents are directed primarily to a data compression and encoding protocol for enabling transmission of large volumes of data over a wireless pager network using modified transmitting and receiving hardware, including separate computers at each end of the transmission. The only discussion of encryption in these patents is a cursory reference to "encryption" as an added security layer provided by utilizing a "commercially available algorithm" (see, *e.g.*, col. 11, lines 15-32 of U.S. Patent No. 5,452,356) during encoding of the files by a computer connected to the pager. Because all encryption and decryption in the Data Critical patents is disclosed as being carried out by software on computers connected directly to the sending and receiving pagers, the only possible ways that true key encryption could be provided for would be to use encryption keys corresponding to decryption keys common to all possible recipients of the message, to use unique keys for each potential recipient but to store the corresponding encryption keys in the sender's computer, or to exchange keys prior to a transmission. While these alternatives might be reasonable in the context of, for example, a medical paging system in which all transmissions are between doctors or trusted medical personnel, none of them are suitable for use in connection with a paging system designed to transmit simple text messages using miniature handheld paging units and which is open to the general public, both because of the hardware intensive nature of the encoding scheme and the problem of key management.

In addition to the wireless pager protocol described in the Data Critical patents the prior art includes a number of patents describing authentication or encryption schemes that are used in connection with wireless paging, but are carried out over a telephone line. The systems described in these patents are more suited to traditional one-way paging environments than with two-way protocols, even though one of the patents issued only recently, and none disclose systems that can be practically applied to the current two-way paging networks.

U.S. Patent No. 5,668,876, for example, discloses a modified pager which provides authentication of a caller. The modified pager calculates a unique response code based on a transmitted challenge code, an input personal identification number, and an internal key. The resulting response code is converted into DTMF tones and transmitted  
5 by telephone to a central computer which authenticates the caller. This system does not provide for encryption of messages, or authentication by the receiving party of communications forwarded by the central computer, and yet requires a challenge response form of authentication which requires simultaneous two-way communications, which is currently neither possible nor required by existing two-way wireless pager  
10 protocols.

U.S. Patent No. 5,285,496 describes a paging system with two options: the first is to send and receive encrypted messages using private key encryption by transmitting a clear text message over a private communications line to a local client of the pager network where the message is encrypted using a private key, and broadcast over a pager  
15 network, and the second is to send the message in clear text by telephone directly to the central control system of the pager network, where the message is encrypted. However, neither of the two options provides for encryption of the original pager transmission, which must be sent in clear text form over a telephone line, and which, in the case where a local client computer is used, provides no way to maintain centralized control. In  
20 addition, for the local client computer option, in which the address is encrypted together with the message, the destination pager must decrypt every message sent over the system in order to determine whether a message is addressed to it, which is only possible in pager networks with a very limited number of participants.

In the system described in U.S. Patent No. 5,638,450, on the other hand, reception  
25 by a pager of encrypted messages over a radio frequency pager network is made possible by having the pager transmit an encryption key via DTMF tones over a telephone line to a central office, the central office then encrypting the messages before forwarding them

to the recipient. This system does not permit outgoing messages to be encrypted, and provides no way of key encrypting messages between two pagers on the network, and again is not applicable in the context of the present invention.

It will be appreciated that none of the above patents, representing the known pager message protection proposals, describes a system that enables true key encryption and authentication capabilities to be added to a conventional two-way wireless alphanumeric paging system of the type with which the present invention is concerned, using existing pager protocols and equipment, and in which any individual can send a simply alphanumeric message by keying the message into a miniature two-way pager (or choosing from a menu of pre-stored messages), entering a destination address, and pressing a send button, the message then being retrievable by the intended recipient by a simple keystroke on the recipient's pager, with the message being encrypted by a key unique to the sending pager and decrypted by a key unique to the destination pager. In contrast, the present invention not only provides these capabilities, but adds further levels of security by using strong secret or private key based encryption algorithms, with multi-tier authentication of a transmitted packet, while permitting central registration and billing for encryption services and recovery of messages by legal authorities without adding to carrier overhead or increasing the costs of the paging service for users who do not require encryption.

All of the above advantages of the system and method of the invention are made possible through the use of a proxy server to intercept an encrypted message and repackage it for delivery to the intended recipient in a form that the intended recipient is capable of reading, thus eliminating the need for shared keys or key exchange between the sender and ultimate recipient of the message or complex, hardware-intensive encoding schemes, and allowing encrypted messages to be transmitted using existing two-way alphanumeric pager protocols. Because the invention involves key encryption and not encoding of the message, and requires knowledge by the sending and receiving

units of only one or two keys (for example, a private key unique to the pager and a server's public key), encryption being simpler to implement than encoding since it merely involves performing arithmetically combining the message with the key, the present invention can be used with existing pager hardware and protocols, and by avoiding the  
5 need for challenge/response authentication, the present invention can be used with existing channels and therefore with the existing pager infrastructure. None of the previously proposed systems and methods has these capabilities.

Not only does the use of a proxy server relieve the sending and receiving pagers of key management functions, but the manner in which the invention utilizes strong  
10 encryption capabilities, by separately encrypting the session key, further minimizes the processing resources required by the sending and receiving pagers. Essentially, encryption of the message itself can be carried out with a relatively short session key to minimize usage of the processor, while the relatively short session key can be protected by a strong encryption algorithm. Because the session key is not re-used, key integrity  
15 can easily be maintained.

### SUMMARY OF THE INVENTION

It is accordingly a first objective of the invention to provide a system of adding full key encryption services to a pager network, allowing key encrypted alphanumeric messages to be sent by any pager unit registered with the encryption service provider to  
20 any other registered pager unit via the network, as well as to e-mail addresses, fax machines and other destinations capable of receiving text messages.

It is a second objective of the invention to provide a method of adding full key encryption services to a pager network, allowing key encrypted messages to be sent by any pager unit registered with the encryption service provider to any other registered  
25 pager unit via the network, as well as e-mail addresses, fax machines and other



destinations capable of receiving text messages.

It is a third objective of the invention to provide a system which allows encryption of alphanumeric messages by a paging unit for wireless transmission over a paging network in a manner which is transparent to the person sending the message, and which  
5 allows decryption and display of the messages by a receiving pager in a manner which is transparent to the person receiving the message.

It is a fourth objective of the invention to provide a method which allows encryption of messages by a paging unit for wireless transmission over a paging network in a manner which is transparent to the person sending the message, and which allows  
10 decryption and display of the messages by a receiving pager in a manner which is transparent to the person receiving the message.

It is a fifth objective of the invention to provide a system and method of adding encryption capabilities with centralized key management and unique secret keys for each user, without modification of existing pager network infrastructure or paging  
15 transmission protocols.

It is a sixth objective of the invention to provide a system and method of encrypting text messages capable of being transmitted over a pager network, which can be provided as an add-on or option to the services provided by the pager network, and which can be centrally managed using a proxy server connected to the network to  
20 provide the encryption services to subscribers who select the encryption option.

It is a seventh objective of the invention to provide a system and method of authenticating messages transmitted in encrypted form over a pager network, without the need for an authentication channel or challenge/response protocol.

It is an eighth objective of the invention to providing a standard alphanumeric pager unit with the capability of encrypting, decrypting, and authenticating messages transmitted using a two-way alphanumeric pager protocol, with minimal or no hardware modification.

- 5           It is a ninth objective of the invention to provide a proxy server arrangement which can be connected to the network operations center of a pager network in order to manage transmission of key encrypted messages over the network.

- These objectives are achieved, in accordance with the principles of a preferred embodiment of the invention, by using a pager proxy server to carry out decryption of a  
10   message encrypted by a session key and received from the sending pager, and to have the pager proxy generate a new session key for re-encryption of the message transmitted to the receiving pager, with the original session key being encrypted at least by a secret key shared by the sending pager and the pager proxy server or by a public key corresponding to a private key of the pager proxy server, and the new session key being encrypted either  
15   by a secret key shared by the pager proxy server and the destination pager or a public key corresponding to a private key held by the destination pager, thereby freeing the sending and destination pagers from having to store more than one secret key or of having to carry out a direct exchange of keys, and allowing each pager on the network to be provided with a unique key.

- 20           In accordance with the principles of an especially preferred embodiment of the invention, in order to encrypt a message, the sending pager must have hard-coded into memory a unique identification number and a secret key associated with the identification number. When a user is ready to send an encrypted message, he or she begins by entering the message to be sent, after which the user is prompted for an access code to  
25   gain access to the encrypted shared key, the encrypted shared is decrypted, and a session key is generated. The message that was entered by the user is then encrypted with the

session key, and the session key is encrypted with the public key of the pager proxy server, or a shared secret key of the sending pager, and appended to the encrypted message for transmission via the network operations center to the pager proxy server.

Pager messages are formatted in accordance with standard pager protocols to  
5 include a destination header, which is generally the address or telephone number of the receiving pager, and with an additional space in the header to indicate that the message is encrypted, as will be explained in more detail below. When the network operations center receives a message that is in encrypted form, it forwards it to the encryption service center, which must at least include a pager proxy server, using an appropriate  
10 protocol, examples of which include but are not limited to TME-X and TNPP. In the illustrated embodiment, the pager proxy server is included in a gateway server in order to enable the system to package e-mail messages for transmission in encrypted form to pagers on the pager network, or to package pager messages according to an e-mail protocol for transmission over a wired network such as the Internet to an e-mail address,  
15 but it will be understood by those skilled in the art that the pager proxy may be operated as a separate unit.

In the illustrated embodiment of the invention, the pager proxy server has the role of verifying the authenticity of the message sent by the sending pager, decrypting the data with its private key or alternatively with a secret key shared with the sending pager to  
20 obtain the session key that was generated by the sending pager, and decrypting the message with the session key generated by the sending pager. Once this is accomplished, the server generates a new session key to encrypt the message with, and then encrypts the session key with a secret key shared with the destination pager or with a public key corresponding to the private key of the destination pager, or alternatively with a secret  
25 key shared with the destination pager, the two entities being appended together and sent to the recipient pager. The destination pager, after receiving the encrypted message, alerts the user and, when the user is ready to read the encrypted page, prompts him or her

for the access code to begin decryption of the appropriate shared secret key or private key, which is then used to decrypt the session key used to decrypt the message.

### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a schematic diagram showing the principal elements of a pager encryption system constructed according to the principles of a preferred embodiment of the invention.

Fig. 2 is a schematic illustration summarizing the operation of the two-way pager for sending an encrypted message over a wireless network in accordance with the principles of a preferred embodiment of the invention.

Fig. 3 is a functional block diagram of a module used by a two-way pager to encrypt a message and package it for wireless transmission over a pager network to a network operations center.

Fig. 4 is a functional block diagram of a module used by a pager proxy server to authenticate the sender of an encrypted message, authenticate the message, and extract information from the message which can be used to re-package the message for transmission a destination address.

Fig. 5 is a functional block diagram of a module used by the pager proxy server to repackage a message and send it to the network operations center for transmission for re-transmission over the wireless pager network to a destination pager.

Fig. 6 is a functional block diagram showing the principal elements of a module used by a destination pager to decrypt and display a message received in encrypted form from the network operations center over the wireless paging network.

Fig. 7 is a flowchart of a preferred process corresponding to the functional block diagram of Fig. 3.

Fig. 8 is a flowchart of a preferred process corresponding to the functional block diagram of Fig. 4.

5 Fig. 9 is a flowchart of a preferred process corresponding to the functional block diagram of Fig. 5.

Fig. 10 is a flowchart of a preferred process corresponding to the functional block diagram of Fig. 6.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

10 As illustrated in Fig. 1, the system of the preferred embodiment of the invention allows encrypted communications between a sending pager and a receiving pager via a two-way wireless paging system such as M-TEL's system, using two-way alphanumeric pagers such as, but not limited to, the Motorola and Wireless Access pagers. The basic elements of the system are a sending pager 1, a receiving pager 2 which may be identical  
15 to the sending pager, and a network operations center (NOC) 3 which provides basic message forwarding and subscription management services for all communications carried by the system.

As is conventional, the sending and receiving or destination pagers (or pager units) 1 and 2 include function and data entry keys 4, and/or a stylus 5 or other data entry  
20 device, for allowing a user to input and send alphanumeric messages, and an LCD or other device 6 which allows received alphanumeric messages to be displayed. The pagers can also provide other functions such an alarm function to alert the user that a message has been received, and includes a microprocessor and circuitry capable of formatting an

input message and transmitting it to the network operations center according to an appropriate protocols, including but not limited to the ReFLEX™ protocol. The sending and receiving or destination pagers also include a memory for storing a unique user identification number (UID) that identifies a particular pager for addressing purposes, and  
5 other information such as a password that can be used to prevent unauthorized users from accessing the transmission or message display functions of the pager, as well as an addressing mode (AM) generator that is used in the pager protocol to indicate the type of addressing used by the paging system, and a timer that can be used to generate a message number.

10 In order to be used with the system and method of the illustrated embodiment of the invention, the pager memory must also have stored therein at least a private key of the pager unit, a corresponding public key of the pager unit, and a public key corresponding to a private key of the server, for encrypting either the message itself or a session key used to encrypt the message, and software capable of running on the  
15 included processor for performing an encryption algorithm and a decryption algorithm. In addition, according to the preferred embodiment of the invention illustrated in Figs. 2-10, the pager must be capable of generating a session key for each message to be transmitted, storing a private key unique to the pager which is used to authenticate the pager, and computing a message authentication code which is used to authenticate the  
20 message being transmitted or received.

It will be appreciated by those skilled in the art, however, that whenever a public key or private key is required, a shared secret key could be substituted using an appropriate algorithm, and that while the use of session keys is highly advantageous, the session key could also be eliminated in favor of public-private key encryption. In  
25 addition, while the illustrated system provides both encryption and decryption capabilities in at least two pagers, so that each pager can send or receive messages, the system and method of the invention could also be applied to systems in which some or

all of the pagers have reception capabilities only, *i.e.*, in which some or all of the pagers are designed to allow the pagers to receive encrypted messages originating from e-mail addresses and/or two-way pagers, but not to originate messages. Conceivably, the system and method of the invention could even be applied to systems in which at least some of the pagers are capable of sending encrypted messages, but not receiving and decrypting them, although such a system would seem to make little commercial sense. In any case, it will be appreciated that the system and method illustrated in Figs. 2-10 are intended as being illustrative in nature only, and should not be interpreted as being limitative of the scope of the invention.

As indicated above, the number of keys required of a pager to encrypt and decrypt messages is at most two, so that the key storage requirements are minimal. The encryption algorithms themselves simply involve a series of mathematical steps, and are well within the capabilities of the microprocessors used in the conventional pagers, as are message authentication code generating techniques such as CRC or SHA1. The session key used in the preferred embodiment to encrypt the message itself consists, in a practical implementation, of just sixteen characters (128 bits), and thus encryption of the alphanumeric message using RC4 or a similar stream cipher or other algorithm which makes use of a shared secret key can be accomplished without a large amount of processing resources, while strong overall protection of the transmission is still provided because the more processor intensive encryption algorithms are reserved for encryption of the relatively small session key rather than the alphanumeric message itself. Of course, the session key is not limited to a particular bit size, and it is possible for example to use 256 bit session keys, or longer or shorter session keys as desired.

In the preferred embodiment, encryption of the session key is carried out by RSA (1024 bits) but other stronger private key algorithms such as ECC PK1 (~2500 bits) can also be used, as well as shared secret key-based encryption methods such as RC4. The public-private key encryption algorithms are preferred not only because of the strong

encryption provided, but also because the permit authentication of the sender, as explained below, but legal or other considerations may also affect the choice of encryption algorithm, and thus the system of the invention is designed to permit the use of different mutually exclusive encryption algorithms by the sending and destination  
5 pagers.

The sending pager 1 illustrated in Fig. 1 transmits messages to the network operations center 3 in the form of a packet that includes a clear text applications header that tells the center to forward the text to the pager proxy server 7, which is conveniently though not essentially included in a gateway 8 capable of network communications as  
10 well as the pager encryption and decryption functions required by the present invention. Forwarding of the packet to the pager proxy or gateway server preferably involves use of a network data transfer protocol such as TME-X, although the manner in which the packet is forwarded to the proxy will depend on the wireless protocol used by the pager network and the capabilities of the network operations center. TME-X is a preferred  
15 transfer protocol for use with Re-FLEX encoded packets because of the presence of a TCP/IP stack in the standard format packets that allows the Re-FLEX™ protocol to communicate directly with computer networks.

The gateway 8 may include a general purpose proxy server 10 such as the one described in U.S. Patent No. 5,602,918, entitled "Application Level Security System And  
20 Method," and also in U.S. Patent Application Ser. No. 08/917,341, filed August 26, 1997, entitled "Multi-Access Virtual Private Network," both of which are incorporated herein by reference. The two patent documents describe a system currently available from V-One Corporation of Germantown, Maryland under the name SmartGate™ (SG in the figures) which is especially suitable for use with the pager proxy of the present invention,  
25 although the pager proxy server of the invention could also be used with other gateway servers, or without any network connection capabilities.



As illustrated, gateway 8 also includes a dedicated e-mail server or gateway 11, and e-mail protocol message transfer agent (MTA) 12 for transferring messages from the gateway server 10 to the e-mail gateway. Both the e-mail gateway 11 and pager proxy 7 may be physically incorporated in the gateway server or provided on independent or  
5 separate computers, and are connected to a pager authentication module 13 which may be physically incorporated into a general purpose gateway authentication module 14 of a separate authentication server 15, combined with the gateway server, or may be provided as an independent unit.

Computers on the network with capabilities of communicating with the general  
10 purpose proxy server are represented in Fig. 1 by computer 16, and include gateway client software that permits the computer to establish a secured communications path to the gateway server, as well as an e-mail program which packages messages in an appropriate format such as that provided by the SMTP protocol for transmission over the secured communications path established by the gateway client software. An example  
15 of an e-mail program is "Eudora™," although the use of standard protocols such as SMTP and Re-FLEX™ allows any e-mail program to communicate with the gateway and thence with the pager network, so that the system of the invention is not limited to use in connection with any particular e-mail program, the conventional pager network already being equipped to handle e-mail transmissions to or from the wireless network. The  
20 invention may be considered to apply equally to pager-to-pager communications, pager-to-email communications, and email-to-pager communications. In addition, it is possible that the invention could be adapted to communications originating from a fax machine, in which case the clear packet transmitted by the fax machine over a telephone line would be processed by a facsimile proxy for packaging and encryption by the pager proxy, and  
25 messages addressed to the fax machine would be decrypted by the pager proxy and forwarded to the facsimile proxy for transmission as clear text over a telephone line, the principles of the invention still being applicable to the encryption and decryption of the messages by the pager proxy and sending or receiving pagers.

Turning to the specific embodiment illustrated in Figs. 2-10, the system and method of the invention take the form of modifications to the header of the transmission packet sent by the sending pager 1 and/or the pager proxy 7. Essentially in order to send messages over the paging system, the sending pager and pager proxy, (or pager proxy alone in the case of a message originating from computer 16 or a source of clear text messages such as a facsimile machine) generates a header which includes the information necessary to enable processing by the recipient of the packet, and in the case of the pager proxy, for forwarding of a repackaged packet to a destination address. The header should at least include the session key encrypted message, the encrypted session key, a sender identification number, and a destination header or address, but because the header format will vary if a protocol other than Re-FLEX™ is used, it should be appreciated that the other information contained in the illustrated header, and the position of the information, can be varied without departing from the scope of the invention, and the invention is intended to encompass headers formatted for other alphanumeric wireless paging protocols, as well as for encryption algorithms and authentication protocols other than the specific algorithms and protocols indicated.

Fig. 2 illustrates the format of the preferred header, which is divided into three fields. It is to be understood that while the illustration refers to the communication between the sending pager and the pager proxy, the same header will be used for the communication between the pager proxy and the destination pager, with appropriate substitutions of addresses and keys as explained in more detail below. As shown in Fig. 2, the first field is a clear text field that contains the encryption method indicator EM, pager addressing mode (AM), and user identification number (UID) (sometimes referred to as a PIN, but not to be confused with the password entered by the user to access pager functions), while the second field contains the encrypted session key (SESKey1) and various data referred to as "header data" (HdrData) including the destination header or address (DH) and a message authentication code (MAC), the information in the second field being encrypted by the unique private key of the sending pager (pv.sender) in order

to authenticate the sender, and by a public key corresponding to a private key held by the server (pb.server) in order to protect the contents of this field. The third field contains the message encrypted by the session key.

The various fields illustrated in Fig. 2 may be formatted in any convenient manner permitted or required by the protocol used to package the data in the fields for transmission, but in the illustrated example most or all of the data in at least fields one and two can conveniently be in hexadecimal format. Whenever the drawings illustrate a hexadecimal number, the number ## will be preceded by a "0x" to form 0x##.

The encryption method indicator EM indicates which of the possible encryption methods handled by the server is being used to encrypt the session key and other information in field 2, so that the session key can be recovered and used to decrypt the encrypted message in field 3. As indicated above, possible encryption methods include the RC4 secret key encryption method, which requires the parties to the communication to have a shared secret key that is used for both encryption or decryption, and the RSA public key encryption method, which is the method illustrated in Fig. 2. The indicator itself is simply a number assigned to the encryption method. While any given pager will generally have only a single encryption method stored in memory, it is possible for the pager proxy to be arranged to handle multiple different methods and thus need to have an indication of the type of encryption method, to accommodate different pager systems or legal requirements, particularly if international pager traffic is involved.

The addressing mode (AM) indicates the type of address involved. For example, in the U.S., pager addressing modes are assigned one application header, while e-mail addressing modes are assigned another application header. This indicator may not be necessary in all protocols since the destination header may be unique to a specific type of address, but is included in field 1 as part of the Re-FLEX™ protocol.

The user identification number (UID) included in clear text in field 1 and in encrypted form in field 2, is the unique address assigned to the pager, and is used to indicate the source of the message so as to enable the pager proxy to retrieve the appropriate public decryption key (pb.sender), and for use in authentication of the sender and for display by a receiving pager. Preferably, this number is hard-coded into memory so that it cannot easily be altered, and in current U.S. paging systems is in the form of a ten digit number.

The header data (HdrData) of the second field includes an application header (AH), which included in a field having variable length and string value, the address mode and destination header (AM/DH), the user identification number (UID), which is the same as the one included in field 1, and a message number (MSGNO) and message authentication code (MAC). In addition, e-mail address protocols require a byte indicative of address length to be added where the address mode indicates an e-mail address.

For purposes of the present invention, the message number can be any arbitrary number, although the use of a time-related reference, as allowed by the Re-FLEX protocol, is useful for account tracking or billing purposes, and in addition can be used to ensure that received message is not a recording of a message sent earlier and intercepted by an unauthorized party. For example, the message number has previously been defined as the number of seconds since January 1, 1970.

The message authentication code is a checksum used to verify that the recovered message is identical to the original message, and may be computed using an error correction code function such the cyclic recovery code (CRC) function, with CRCs being used in the illustrated embodiment or, alternatively, by computing a hash or one-way combination of the header data with the message and the session key, using an algorithm such as SHA1. By combining the message with other data to obtain the message

authentication code in a way that can only recreated if the data used to recreate the code is the same as the data originally used to generate the code, the code can be used to authenticate the message, *i.e.*, to verify that the message has not been altered since the time when the code was first generated, as will be described in more detail below. It will  
5 be appreciated that the exact form of the message authentication code is not a part of the present invention, and that any message authentication code may be used so long as it can be used to authenticate the message in the manner described below.

The three blocks above the header data in Fig. 2 indicate the source of the data for the various fields. The manner in which the data is combined to form the fields is  
10 described in more detail in connection with Figs. 3-10, but the sources of the data may be summarized as (i) information entered by the user, which consists of the message (MSG) and the recipient address which forms the destination header, (ii) information stored in memory, including private and public keys of the pager, a public key of the pager proxy server, an access code which is to be compared with an access code input by  
15 the user, the encryption method indicator (EM), the user identification number (UID), and the application header, and (iii) information generated at runtime, *i.e.*, during assembly of the packet header, including the session key (SESKey), the message number (MSGNO), the addressing mode (AM), and the message authentication code (MAC).

The details of the manner in which the data shown in Fig. 2 is assembled by  
20 sending pager 1 to form the header shown in Fig. 2 is illustrated in the functional block diagram of Fig. 3, as well as the flowchart of Fig. 7. As illustrated in Fig. 3, the pager 1 includes a user input 20 connected to keys 4 or stylus 5, which supplies the destination header (DH) to a functional block 21 which assembles the header data (HdrData), and to a functional block 22 which computes the message authentication code (MAC). In  
25 addition, the user input 20 supplies the message to functional block 28, the output of which is field 3 of the header.

Pager 1 also includes a memory 24 which stores the encryption method (EM), the application header (AH), the user identification number (UID) and the encryption method identifier (EM), which are supplied directly to functional block 23 for inclusion in field 1, the user identification number and application header being also supplied to functional block 21 for inclusion in the header data, which in turn is supplied to functional block 22 for inclusion in the message authentication code. The address mode (AM), which is associated with the destination header (DH) in the header data is generated by an address mode generator 25 which can be in the form of a look-up table, device that reads a particular identifying bit in the destination header, or other device, and the message number can be generated by a counter, timer, or other device 26 depending on the nature of the message number. Finally, the session key (SESKey1) for this embodiment of the invention is an eight character string generated by a random or pseudorandom number generator 27, which supplies the session key to functional block 28 for use in encrypting the message (MSG), to functional block 22 for inclusion in the message authentication code, and to functional block 29 for encryption together with the header data by the private key of the sender. The output of functional block 29 is supplied to functional block 30 for encryption by the public key of the server, the output of block 30 serving as field 2 of the header for the packet transmitted by the sending pager.

It will be appreciated by those skilled in the art that any of the functional blocks and data or number generators illustrated in Fig. 3, or in Figs 4-6, may be implemented either by hardware or software, and that while distinguishable by function, the functions may be carried out using common subroutines, hardware, or software.

Turning to Fig. 4, the pager proxy 7 includes a database of public keys corresponding to the unique public keys of pagers registered with the encryption service provider that operates the proxy server. The database is accessed by functional block 31 according to the clear text user identification number (UID) present in the header of a packet forwarded to the pager proxy by the network operations center. Field 2 is

decrypted by functional block 32 using the private key of the server (pv.server) and by functional block 33 using the public key of the sender (pb.sender) to recover the session key, and the user identification number (UID) recovered from field 2 is compared by functional block 34 with the user identification number of field 1 to verify the authenticity of field 2 and recover the session key (SESKey1). A functional block 35 then uses the session key to decrypt the message (MSG).

The message recovered by the pager proxy is authenticated in functional block 37, by comparing the message authentication code recovered from field 2 with the output of a functional block 36 that computes the message authentication code based on the destination header (DH), application header (AH), user identification number (UID), message number (MSGNO), and session key (SESKey1) recovered from field 2, and the message recovered from field 3. The message, session key, and header data (HdrData) are then made available by functional block 38 to an encryption or repackaging module, illustrated in Fig. 5, for repackaging in a way that will enable decryption by a destination pager.

As shown in Fig. 5, the application header (AH) and message number (MSGNO) received from functional block 38 is provided to functional blocks 41 and 42 for inclusion in the header data and message authentication code, while the address mode (AM) and encryption method (EM) obtained from field 1 of the packet received from the sender is passed to functional block 43 or regenerated for inclusion as clear text in the packet header. In order to permit decryption and authentication of the repackaged header by the receiving pager, however, the destination header (DH) and user identification number (UID) are swapped, so that the original destination header is supplied by the pager proxy to functional blocks 41, 42, and 43 as the user identification number (UID), and the original user identification number are supplied to functional blocks 41 and 42 as the destination header (DH). Functional block 42 generates a message authentication code based on the new destination header (DH), application header (AH), user

identification number (UID), message number (MSGNO), while a new session key (SESKey2) is generated by functional block 44 in the same manner as functional block 27 shown in Fig. 3, and the resulting message authentication code (MAC) together with the new session key and header data from functional block 41 are encrypted by functional  
5 block 45 using the private key of the server (pv.server) before being sealed by functional block 46 using the public key of the destination pager (pb.recipient) and included in the header as field 2. Functional block 47 receives the message and new session key and re-encrypts the message using the new session key and an algorithm such as RC4 to generate field 3, fields 1-3 being assembled into a packet 50 for transmission to the  
10 destination pager 2 via the network operations center 3.

Again, those skilled in the art will appreciate that all of the functional blocks illustrated as being present in the proxy server and/or proxy authentication module may be implemented as software, hardware, or a combination of software and hardware, and may be varied depending on the encryption method and requirements of the pager  
15 protocol.

In addition, those skilled in the art will appreciate that the illustrated embodiment could be modified by eliminating the session key and instead using public key encryption of the message. Alternatively, instead of having the pager proxy perform any decryption of the message, the original session key could simply be re-encrypted by the pager proxy  
20 using at least the public key of the destination pager as described above, or a secret key shared with the destination pager, in which the encrypted message would simply be forwarded to the destination pager unit with the session key re-encrypted so that it can be recovered by the destination pager. While neither of these options is currently preferred because elimination of the session key leaves transmissions vulnerable to  
25 recording, and elimination of message decryption by the pager proxy makes message authentication more difficult, they should nevertheless be considered to be within the scope of the invention.



Turning to Fig. 6, the destination pager 2 includes functional blocks mirroring those of the server for decrypting messages and authenticating packets received from the pager proxy 7 via the network operations center 3. These include functional block 51 for retrieving the server public key (pb.server) from memory, functional blocks 52 and 53 for decrypting the field 2 using the recipient private key (pv.recipient) and the server public key, functional block 54 for comparing the user identification number recovered from field 2 with the user identification number in field 1, functional block 56 for decrypting the message (MSG) using the session key (SESKey2) recovered from field 2, and functional blocks 57 and 58 for generating a message authentication code and comparing it with the message authentication code recovered from field 2. It will be noted that functional block 57 may also be used to generate a message authentication code for an outgoing message, avoiding duplication of the hardware or software which performs this function.

Finally, destination pager 2 includes a functional block 59 for displaying the message (MSG) and destination header (DH) corresponding to the user identification number of the sending pager, and for alerting the user as necessary that a message has been received. The display is identical to that used for an unencrypted message, and thus the decryption operation is entirely transparent to the user.

The method steps that implement the functions illustrated in Figs. 3-6 are as follows:

First, as shown in Fig. 7, upon input of a message and destination address by the user of a pager (step 100), which may follow the input and verification of a password (not shown), a message number, address mode, and session key are generated (step 110) and the encryption method identifier, application header, user identification number, server public key, and sender private key are retrieved from memory (step 120). The encryption method identifier, address mode, and user identification number are included in field 1 (step 130), a message authentication code based on the destination header, application

header, user identification number, message number, message, and session key is computed (step 140), and the application header, user identification number, destination header, message number, message authentication code, and session key are encrypted by the private key of the sending pager (step 150) and then by the public key of the pager proxy (step 160) to obtain field 2 of the packet header. Finally, the message is encrypted by the session key (step 170) to obtain field 3, and the packet header is transmitted via the network operations center to the pager proxy (step 180).

Upon receipt by the pager proxy, as shown in Fig. 8, the public key of the sending pager is retrieved based on the user identification number in field 1 (step 200), and field 2 of the packet is decrypted by the private key of the server (step 210) and then by the public key of the sending pager (step 220) based on the encryption method identified by the identifier in field 1. Authentication of the sender is provided by comparing the user identification number recovered from field 2 with the user identification number in field 1 (step 230), the message included in field 3 is decrypted using the session key recovered from field 2 (step 240), and authentication of the message is provided by generating a message authentication code based on the destination header, application header, user identification number, message number, and session key recovered from field 2 together with the decrypted message (step 250), and by then comparing the computed message authentication code with the message authentication code recovered from field 2 (step 260).

As illustrated in Fig. 9, after authenticating the information contained in field 2, the proxy server generates a new session key (step 300), encrypts the message using the new session key (step 310), assigns the original user identification as the new destination header and the original destination header as the new user identification number, computes a new message authentication code (step 330), encrypts the address header, message number, new user identification number, new destination header, new session key, and new message authentication code using the private key of the server (step 340),

encrypts the result of step 340 using the public key of the destination pager (step 350), and assembles the header and packet for RF transmission to the destination pager via the network operations center (step 360).

As illustrated in Fig. 10, upon receipt by the destination pager, as shown in Fig. 8, the public key of the pager proxy server is retrieved based on the user identification number in field 1 (step 400), and field 2 of the packet is decrypted by the private key of the destination pager (step 410) and then by the public key of the pager proxy server (step 420) based on the encryption method identified by the identifier in field 1. Authentication of the sender is provided by comparing the user identification number recovered from field 2 with the user identification number in field 1 (step 430), the message included in field 3 is decrypted using the session key recovered from field 2 (step 440), and authentication of the message is provided by computing a message authentication code based on the destination header, application header, user identification number, message number, and session key recovered from field 2 together with the decrypted message (step 450), and by then comparing the computed message authentication code with the message authentication code recovered from field 2 (step 460). Finally, after authentication of the user identification number and message, the user is alerted that a message has been received and the decrypted message and information contained in the destination header are displayed at the request of the user (step 470).

Having thus described a preferred embodiment of the invention in sufficient detail to enable those skilled in the art to practice the invention, it is nevertheless anticipated that numerous variations and modifications of the invention will occur to those skilled in the art, and it is intended that all such variations and modifications be included within the scope of the invention. For example, although the preferred embodiment of the invention has the pager proxy re-package the message by first decrypting it, and then re-encrypting it using a new session key, it is also within the scope of the invention to have the pager proxy decrypt only the session key and re-encrypt the same session key using

the public key or shared secret key of the destination pager. Accordingly, it is intended that the above description not be taken as limiting, but rather that it be defined solely by the appended claims.

I claim:

1. A system for adding encryption services to an existing pager network, the pager network including a network operations center which provides a means for receiving an alphanumeric message from any of a plurality of handheld pager units and forwarding the alphanumeric message to another of the plurality of handheld pager units, at least two of said pager units comprising:
  - means for inputting an alphanumeric message and a destination address;
  - means for including the alphanumeric message in a packet for transmission to the destination address by wireless transmission via the network operations center;
  - means for receiving an alphanumeric message from the network operations center; and
  - means for displaying the alphanumeric message received from the network operations center,wherein the system for adding encryption services comprises:
  - means in at least one of said pager units for encrypting a message and transmitting the encrypted message via the network operations center to another of said pager units;
  - means in said another one of said pager units for decrypting and displaying the encrypted message; and
  - a pager proxy server including means for receiving a packet containing the encrypted message that has been sent to the network operations center, decrypting at least a portion of the packet, and re-encrypting said portion of the packet for delivery to said another of said pager units via said network operations center.
2. A system as claimed in claim 1, wherein said means for encrypting the message comprises means for encrypting the message by a secret key.

3. A system as claimed in claim 2, wherein said secret key is a first session key generated by a sending pager unit, said sending pager unit further comprising means for encrypting said first session key by a public key corresponding to a private key held by the pager proxy server so that the session key can be recovered only by the paging proxy  
5 server.

4. A system as claimed in claim 3, wherein said sending pager unit further comprises means for encrypting at least the first session key by a private key of the sending pager unit, and wherein said pager proxy server includes means for retrieving a public key corresponding to the private key of the sending pager unit for use as a first level  
10 authentication of the sending pager unit.

5. A system as claimed in claim 4, further comprising means for appending a unique user identification number of the sending pager unit to the header in clear text form, said user identification number being hard-coded into the sending pager unit.

6. A system as claimed in claim 5, wherein said means for encrypting at least the  
15 session key by a private key of the sending pager unit also encrypts the user identification number of the sending pager unit, and said paging proxy server includes means for decrypting the encrypted user identification number together with the first session key and comparing it with the clear text user identification number in order to authenticate the contents of the field containing the encrypted user identification number and first  
20 session key.

7. A system as claimed in claim 4, wherein the sending pager unit further comprises means for generating a first message authentication code based on various header data and the message and encrypting the various information together with the session key and the first message authentication code using the private key of the sending pager unit, and  
25 wherein the pager proxy server further comprises means for decrypting the various header

data, first message authentication code, and session key using a public key corresponding to the private key of the sending pager unit, decrypting the message using the session key, generating a second message authentication code based on the message and various header data, and comparing the first message authentication code with the second  
5 message authentication code in order to authenticate the message.

8. A system as claimed in claim 7, wherein said message authentication code is an error correction code function.

9. A system as claimed in claim 7, wherein said various header data includes at least a user identification number of the sending pager and a destination header corresponding  
10 to the input address of the destination pager.

10. A system as claimed in claim 9, wherein said various header data further includes a message number and application header.

11. A system as claimed in claim 4, wherein the sending pager further comprises means for adding an encryption method identifier in clear text to the packet header.

15 12. A system as claimed in claim 4, wherein an encryption algorithm used to encrypt the first session key is a public-private key encryption algorithm.

13. A system as claimed in claim 4, wherein said secret key is a first session key generated by a sending pager unit and said first session key is encrypted by a stream cipher that uses a shared secret key.

20 14. A system as claimed in claim 2, wherein said sending pager unit further comprises means for generating an address mode and appending the address mode in clear text to the packet header.

15. A system as claimed in claim 14, wherein said address mode indicates an address type selected from the group consisting of pager address types and e-mail address types, and wherein the pager proxy server is connected to a computer network gateway server and includes means for re-packaging said message in an e-mail packet and transmitting  
5 the e-mail packet via said computer network server to an e-mail address.

16. A system as claimed in claim 15, further comprising means for receiving e-mail packets from said computer network gateway server, and re-packaging said e-mail packets for transmission to the destination pager unit via said network operation center, and means for repackaging packets received from the network operations center for  
10 forwarding to an e-mail server.

17. A system as claimed in claim 1, wherein said means included in the pager proxy server for decrypting at least a portion of the packet includes means for decrypting, using a secret key, a portion of the packet containing a first session key used by a sending pager unit to encrypt said portion of the packet.

18. A system as claimed in claim 17, wherein said pager proxy server further includes means for decrypting said message using said first session key, means for generating a second session key, and means for re-encrypting the message using the second session key.  
15

19. A system as claimed in claim 18, wherein said means for re-encrypting said  
20 portion of the packet includes means for encrypting the second session key by a secret key.

20. A system as claimed in claim 19, wherein said means for encrypting said portion of the packet by a secret key includes means for re-encrypting the second session key by a public key corresponding to a private key of a destination pager unit.



21. A system as claimed in claim 20, wherein said means for encrypting said portion of the packet by a secret key further includes means for, before re-encrypting the second session key by the public key corresponding to a private key of the destination pager, encrypting the second session key and various additional data by a private key of the  
5 pager proxy server.
22. A system as claimed in claim 21, wherein said additional data includes a second user identification number, said second user identification number corresponding to a first destination header included in said decrypted portion of the packet received from the sending pager unit, and wherein said destination paging unit includes means for  
10 comparing said second user identification number encrypted with said second session key to a clear text version of the second user identification number received from the pager proxy server in order to authenticate the pager proxy server.
23. A system as claimed in claim 22, wherein said additional data includes a second destination header corresponding to the first user identification number, and wherein said  
15 second pager unit includes means for displaying information included in said second destination header in order to indicate an address of the sending pager unit.
24. A system as claimed in claim 22, wherein said additional data includes a second destination header corresponding to the first user identification number, a message number recovered from said decrypted portion of the packet received from the sending  
20 pager unit, and an application number.
25. A system as claimed in claim 22, wherein said pager proxy server further comprises means for generating a message authentication code based on said message, said second session key, and said additional data, and said destination pager unit includes means for recovering said additional data and computing a message authentication code  
25 based on the additional data, said second session key, and said message in order to authenticate said message.

26. An encryption method according to which encryption services may be added to an existing two-way wireless pager network, the pager network including a network operations center which provides a means for receiving an alphanumeric message from any of a plurality of handheld pager units and forwarding the alphanumeric message to  
5 another of the plurality of handheld pager units, comprising the steps of:

causing one of said pager units to perform the steps of encrypting a message, including the encrypted message in a wireless transmission packet, and transmitting the encrypted message from said one of said pager units to a pager proxy server via the network operations center;

10 causing the pager proxy server to perform the steps of receiving the encrypted message and repackaging it for transmission to another of said pager units via the network operations center; and

causing said another of said pager units to perform the steps of decrypting and displaying the encrypted message.

15 27. A method as claimed in claim 26, wherein the step of encrypting the message comprises the step of encrypting the message by a secret key corresponding to a secret key of the pager proxy server so that the session key can only be recovered by the paging proxy server.

28. A method as claimed in claim 26, wherein said secret key is a first session key  
20 generated by a sending pager unit, and wherein said sending pager unit further performs the step of encrypting said first session key by a public key corresponding to a private key held by the pager proxy server.

29. A method as claimed in claim 27, wherein said sending pager unit further performs the step of encrypting at least the first session key by a private key of the  
25 sending pager unit, and wherein said pager proxy server performs the step of retrieving a public key corresponding to the private key of the sending pager unit for use as a first

level authentication of the sending pager unit.

30. A method as claimed in claim 29, further comprising of the step of appending a unique user identification number of the sending pager unit to the header of the transmission to the paging proxy server in clear text form, said user identification number  
5 being hard-coded into the sending pager unit.

31. A method as claimed in claim 30, wherein said step of encrypting at least the session key by a private key of the sending pager unit includes the step of encrypting the user identification number of the sending pager unit, and said paging proxy server further performs the steps of decrypting the encrypted user identification number together with  
10 the first session key and comparing it with the clear text user identification number in order to authenticate the contents of the field containing the encrypted user identification number and first session key.

32. A method as claimed in claim 29, wherein the sending pager unit further performs the step of computing a first message authentication code based on various header data  
15 and the message and encrypting the various information together with the session key and the first message authentication code using the private key of the sending pager unit, and wherein the pager proxy server further performs the steps of decrypting the various header data, first message authentication code, and session key using a public key corresponding to the private key of the sending pager unit, decrypting the message using  
20 the session key, generating a second message authentication code based on the message and various header data, and comparing the first message authentication code with the second message authentication code in order to authenticate the message.

33. A method as claimed in claim 32, wherein said message authentication code is an error correction code function.

34. A method as claimed in claim 32, wherein said various header data includes at least the user identification number of the sending pager and a destination header corresponding to the input address of the destination pager.
35. A method as claimed in claim 34, wherein said various header data further  
5 includes a message number and application header.
36. A method as claimed in claim 34, wherein the sending pager further performs the step of adding an encryption method identifier in clear text to the packet header.
37. A method as claimed in claim 29, wherein an encryption algorithm used to encrypt the first session key is a public-private key encryption algorithm.
- 10 38. A method as claimed in claim 27, wherein said secret key is a first session key generated by a sending pager unit and said first session key is encrypted by a stream cipher that uses a shared secret key.
39. A method as claimed in claim 37, wherein said sending pager unit further performs the step of generating an address mode and appending the address mode in clear  
15 text to the packet header.
40. A method as claimed in claim 39, wherein said address mode indicates an address type selected from the group consisting of pager address types and e-mail address types, and wherein the pager proxy server is connected to a computer network gateway server and further performs the step of re-packaging said message in an e-mail packet and  
20 transmitting the e-mail packet via said computer network server to an e-mail address.
41. A method as claimed in claim 40, further performs the steps of receiving e-mail packets from said computer network gateway server, and re-packaging said e-mail

packets for transmission to the destination pager unit via said network operation center.

42. A method as claimed in claim 26, wherein said step of repackaging the encrypted message for transmission includes the step of causing the pager proxy server to encrypt, using a secret key, a portion of the packet containing a first session key used by a sending  
5 pager unit to encrypt said portion of the packet.

43. A method as claimed in claim 42, wherein said pager proxy server further performs the steps of decrypting said message using said first session key, generating a second session key, and re-encrypting the message using the second session key.

44. A method as claimed in claim 43, wherein said pager proxy server further  
10 performs the step of encrypting the second session key by a secret key.

45. A method as claimed in claim 44, wherein said step of encrypting said portion of the packet by a secret key includes the step of re-encrypting the second session key by a public key corresponding to a private key of a destination pager unit.

46. A method as claimed in claim 45, wherein said step of encrypting said portion of  
15 the packet by a secret key further includes the step of, before re-encrypting the second session key by the public key corresponding to a private key of the destination pager, encrypting the second session key and various additional data by a private key of the pager proxy server.

47. A method as claimed in claim 46, wherein said additional data includes a second  
20 user identification number, said second user identification number corresponding to a first destination header included in said decrypted portion of the packet received from the sending pager unit, and wherein said destination paging unit perform the step of comparing said second user identification number encrypted with said second session key

to a clear text version of the second user identification number received from the pager proxy server in order to authenticate the pager proxy server.

48. A method as claimed in claim 47, wherein said additional data includes a second destination header corresponding to the first user identification number, and wherein said  
5 second pager unit performs the step of displaying information included in said second destination header in order to indicate an address of the sending pager unit.

49. A method as claimed in claim 47, wherein said additional data includes a second destination header corresponding to the first user identification number, a message  
number recovered from said decrypted portion of the packet received from the sending  
10 pager unit, and an application number.

50. A method as claimed in claim 47, wherein said pager proxy server further performs the step of computing a message authentication code based on said message, said second session key, and said additional data, and said destination pager unit further performs the step of recovering said additional data and computing a message  
15 authentication code based on the additional data, said second session key, and said message in order to authenticate said message.

51. A two-way alphanumeric pager unit, comprising:  
means for inputting a message and a destination address;  
means for generating a session key;  
20 means for encrypting the message using the session key;  
means for protecting the session key so that it can only be recovered by a pager proxy server;  
means for transmitting the message via a wireless pager network to the pager proxy server;  
25 means for receiving an encrypted message transmitted via the wireless pager network from the pager proxy server;

means for decrypting an encrypted session key appended to the message;

means for decrypting the encrypted message transmitted from the pager proxy server using the decrypted session key; and

means for displaying the message.

5 52. A pager unit as claimed in claim 51, wherein said means for protecting the session key comprises means for encrypting the session key by a secret key.

53. A pager unit as claimed in claim 52, wherein said secret key is a first session key generated by the pager unit, said sending pager unit further comprising means for encrypting said first session key by a public key corresponding to a private key held by  
10 the pager proxy server.

54. A pager unit as claimed in claim 53, further comprising means for appending a unique user identification number of the pager unit to the header in clear text form, said user identification number being hard-coded into the pager unit.

55. A pager unit as claimed in claim 54, wherein said means for encrypting at least  
15 the session key by a secret key also encrypts the user identification number of the sending pager unit, said encrypted user identification number being compared by the pager proxy server with a clear text version of the user identification number transmitted with a packet header in order to authenticate the pager unit.

56. A pager unit as claimed in claim 55, wherein the pager unit further comprises  
20 means for computing a message authentication code based on various header data and the message, and means for encrypting the various information together with the session key and the message authentication code using a private key of the sending pager unit in order to provide a means for authentication by the pager proxy of the message.

57. A pager unit as claimed in claim 56, wherein said message authentication code is an error correction code function.
58. A pager unit as claimed in claim 57, wherein said various header data includes at least the user identification number of the pager unit and a destination header  
5 corresponding to the input address of a destination pager.
59. A pager unit as claimed in claim 58, wherein said various header data further includes a message number and application header.
60. A pager unit as claimed in claim 52, wherein the pager unit further comprises means for adding an encryption method identifier in clear text to a packet header.
- 10 61. A pager unit as claimed in claim 60, wherein an encryption algorithm used to encrypt the first session key is a public-private key encryption algorithm.
62. A pager unit as claimed in claim 60, wherein said secret key is a first session key generated by a sending pager unit and said first session key is encrypted by a stream cipher that uses a shared secret key.
- 15 63. A pager unit as claimed in claim 62, wherein said pager unit further comprises means for generating an address mode and appending the address mode in clear text to the packet header.
64. A pager unit as claimed in claim 62, wherein said address mode is selected from the group consisting of pager address types and e-mail address types, and wherein the  
20 pager proxy server is connected to a computer network server and includes means for re-packaging said message in an e-mail packet and transmitting the e-mail packet via said computer network server to an e-mail address.



65. A pager proxy server, comprising:  
means for receiving a message encrypted by a session key, the session key being encrypted and appended to the encrypted message, from a network operations center of a pager network;
- 5 means for recovering the session key using a secret key of the server;  
means for authenticating the sender of the message; and  
means for re-transmitting the message encrypted by a session key in a manner which enables decryption of the message only by a holder of a second secret key.
66. A server as claimed in claim 65, wherein said means for re-transmitting the message comprises means for decrypting the message using the first session key, re-  
10 encrypting the message using a second session key, and encrypting the second session key.
67. A server as claimed in claim 66, wherein said first secret key is a private key held by the pager proxy server.
- 15 68. A server as claimed in claim 67, further comprising means for retrieving a public key corresponding to a private key of a sending pager unit for use as a first level authentication of the sending pager unit.
69. A server as claimed in claim 68, further comprising means for decrypting the a user identification number of the sending pager unit together with the session key and  
20 comparing it with a clear text user identification number in order to authenticate the contents of the field containing the encrypted user identification number and session key.
70. A server as claimed in claim 69, further comprising means for decrypting various header data, a first message authentication code, and a session key using a public key corresponding to the private key of the sending pager unit, decrypting the message using

the session key, generating a second message authentication code based on the message and various header data, and comparing the first message authentication code with the second message authentication code in order to authenticate the message.

71. A server as claimed in claim 70, wherein said message authentication code is an error correction code function.

72. A server as claimed in claim 70, wherein said various header data includes at least the user identification number of the sending pager and a destination header corresponding to the input address of the destination pager.

73. A server as claimed in claim 72, wherein said various header data further includes a message number and application header.

74. A server as claimed in claim 73, wherein said encryption method is a public-private key encryption algorithm.

75. A server as claimed in claim 73, wherein said encryption method is RC4 secret key encryption.

76. A server as claimed in claim 72, further comprising means for receiving e-mail packets from said computer network server, and re-packaging said e-mail packets for transmission to the destination pager unit via said network operation center.

77. A system for adding encryption services to an existing pager network, the pager network including a network operations center which provides a means for receiving an alphanumeric message from any of a plurality of handheld pager units and forwarding the alphanumeric message to another of the plurality of handheld pager units, at least one of said pager units comprising:

means for inputting an alphanumeric message and a destination address;

means for including the alphanumeric message in a packet for transmission to the destination address by wireless transmission via the network operations center;

means for receiving an alphanumeric message from the network operations center; and

means for displaying the alphanumeric message received from the network operations center,

10 wherein the system for adding encryption services comprises:

means in at least one of said pager units for decrypting and displaying an encrypted message; and

a pager proxy server including means for receiving a packet containing the encrypted message, decrypting at least a portion of the packet, and re-encrypting said portion of the packet for delivery to said at least one of said pager units via said network operations center.

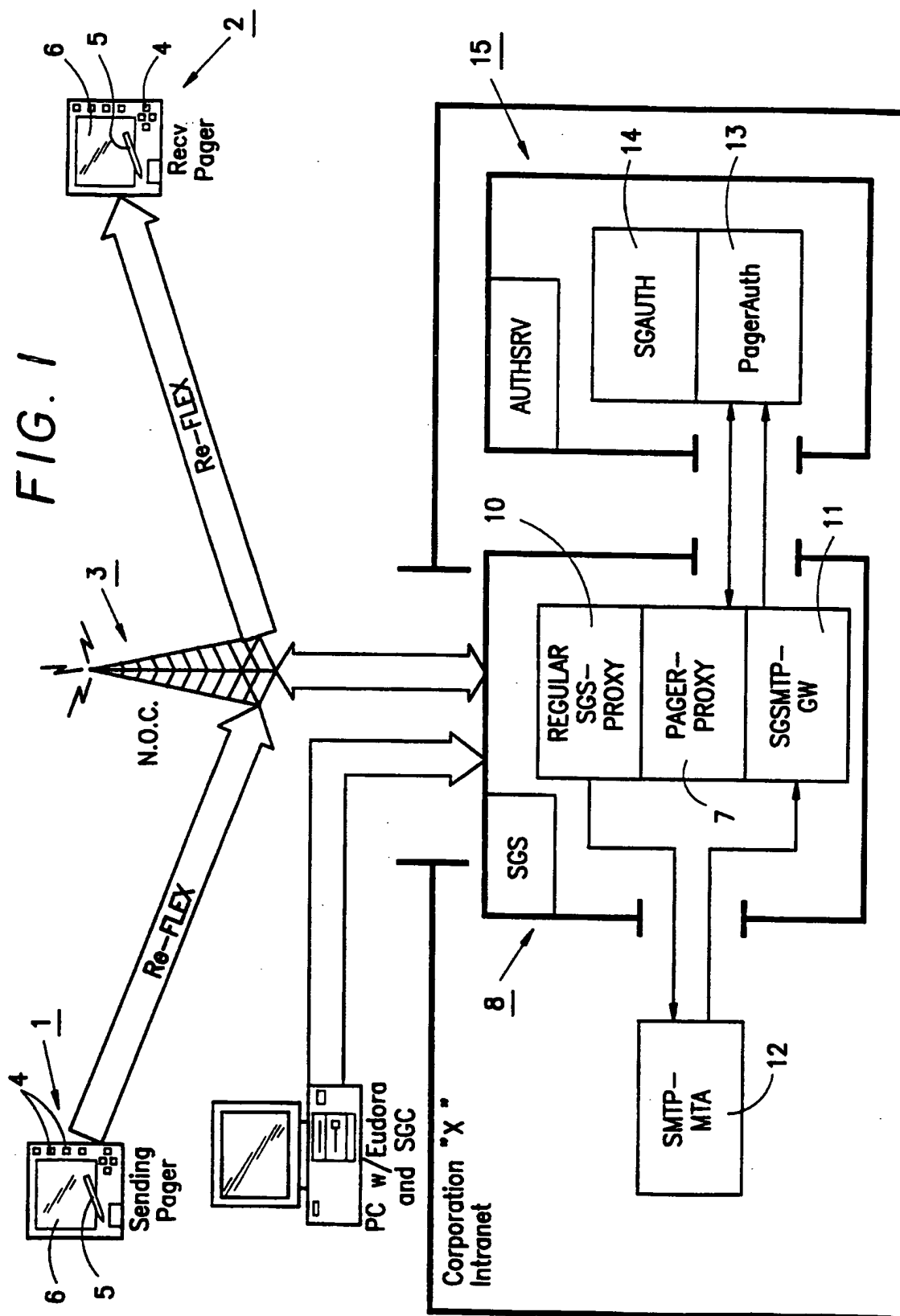
78. An alphanumeric pager unit, comprising:

means for receiving an encrypted message transmitted via a wireless pager network from a pager proxy server;

20 means for decrypting an encrypted session key appended to the message;

means for decrypting the encrypted message transmitted from the pager proxy server using the decrypted session key; and

means for displaying the message.



2/10

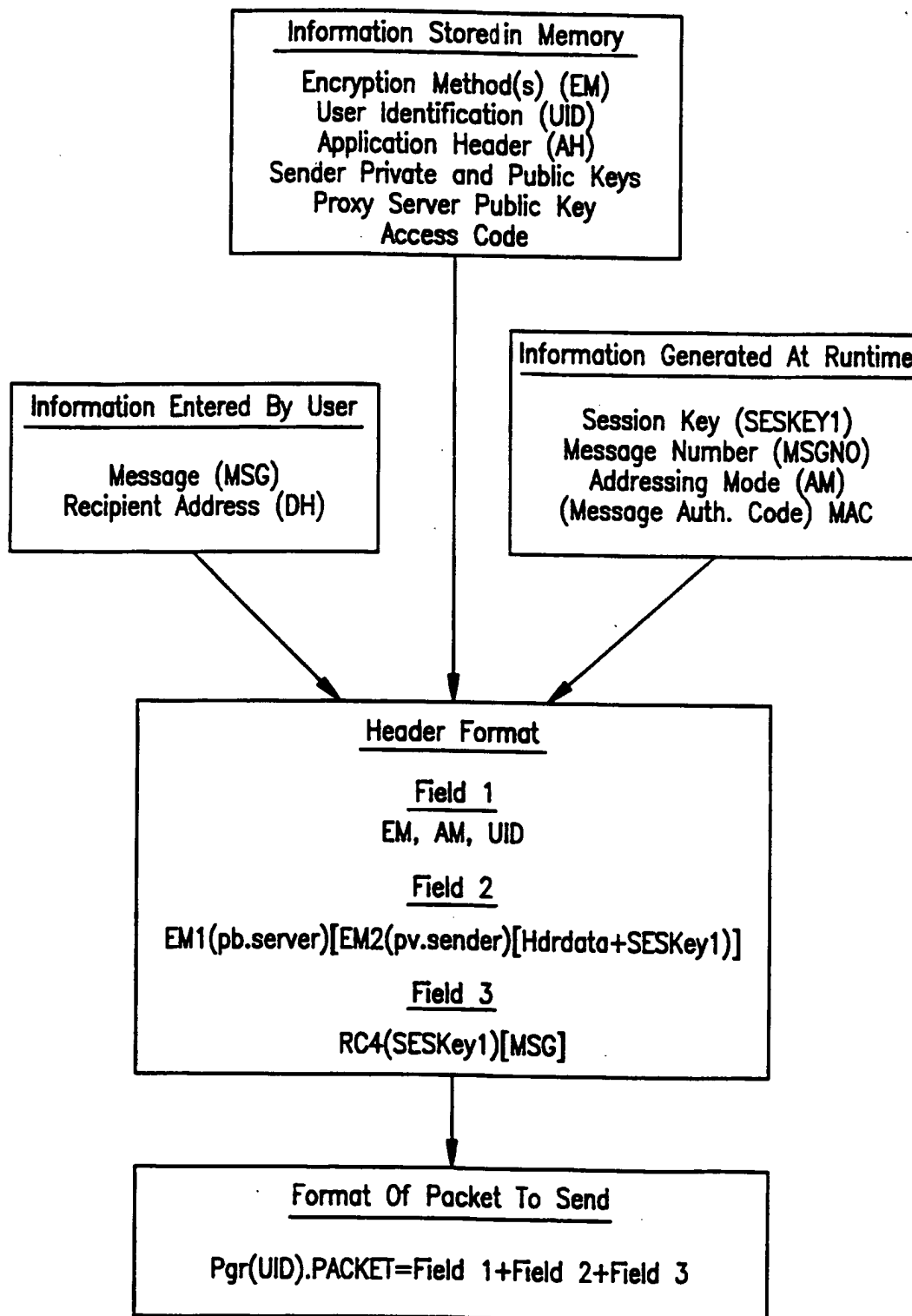
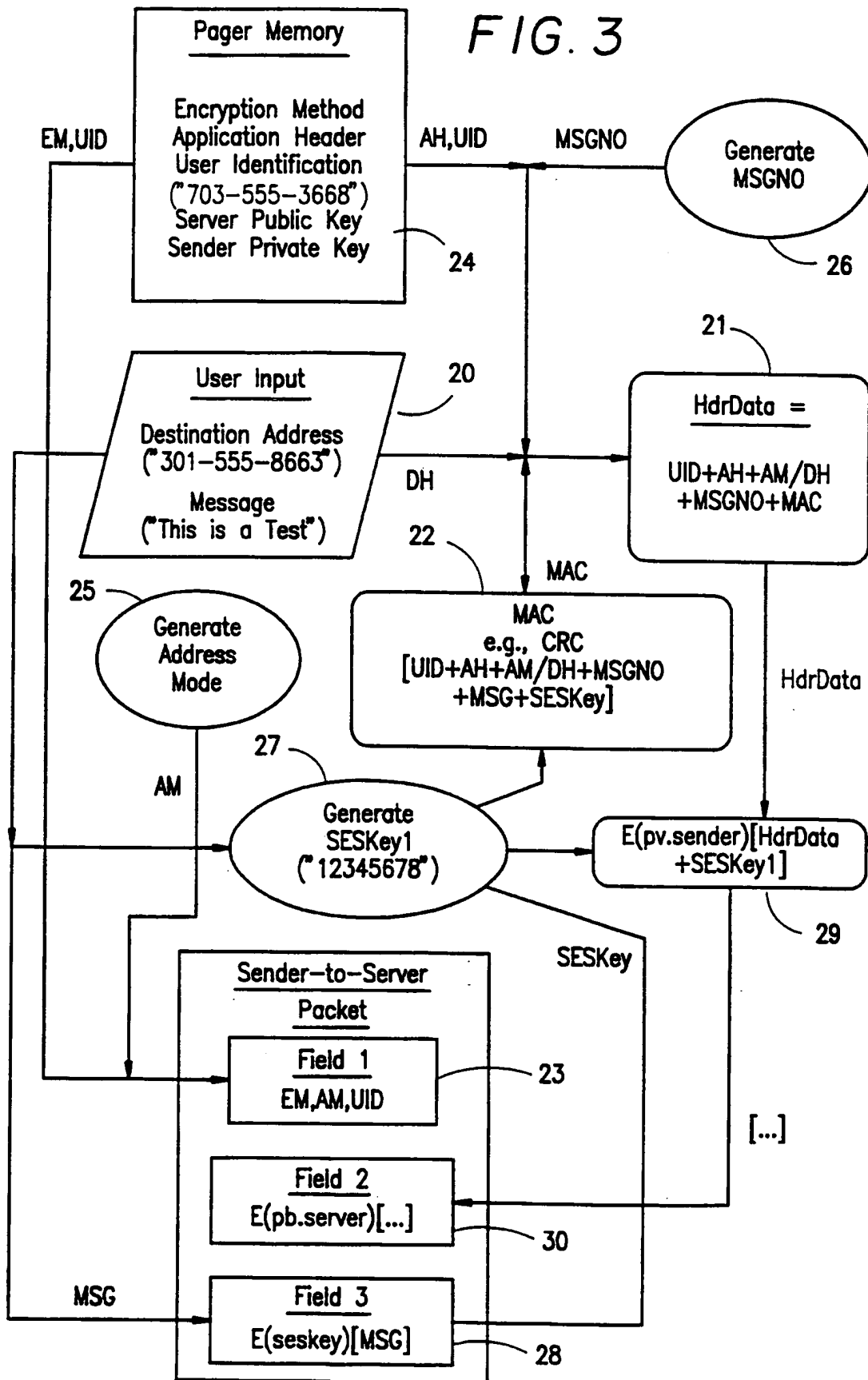
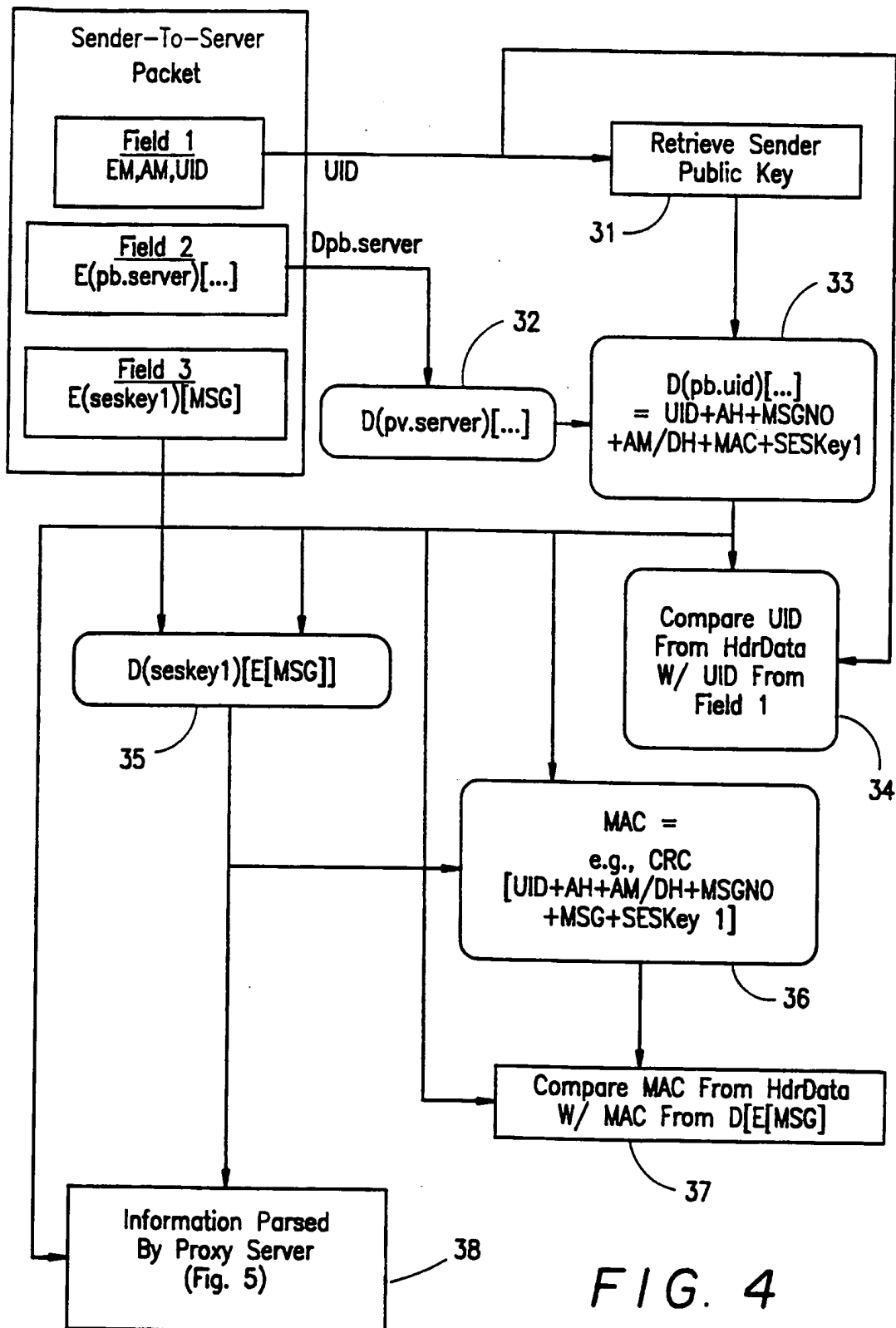


FIG. 2

FIG. 3





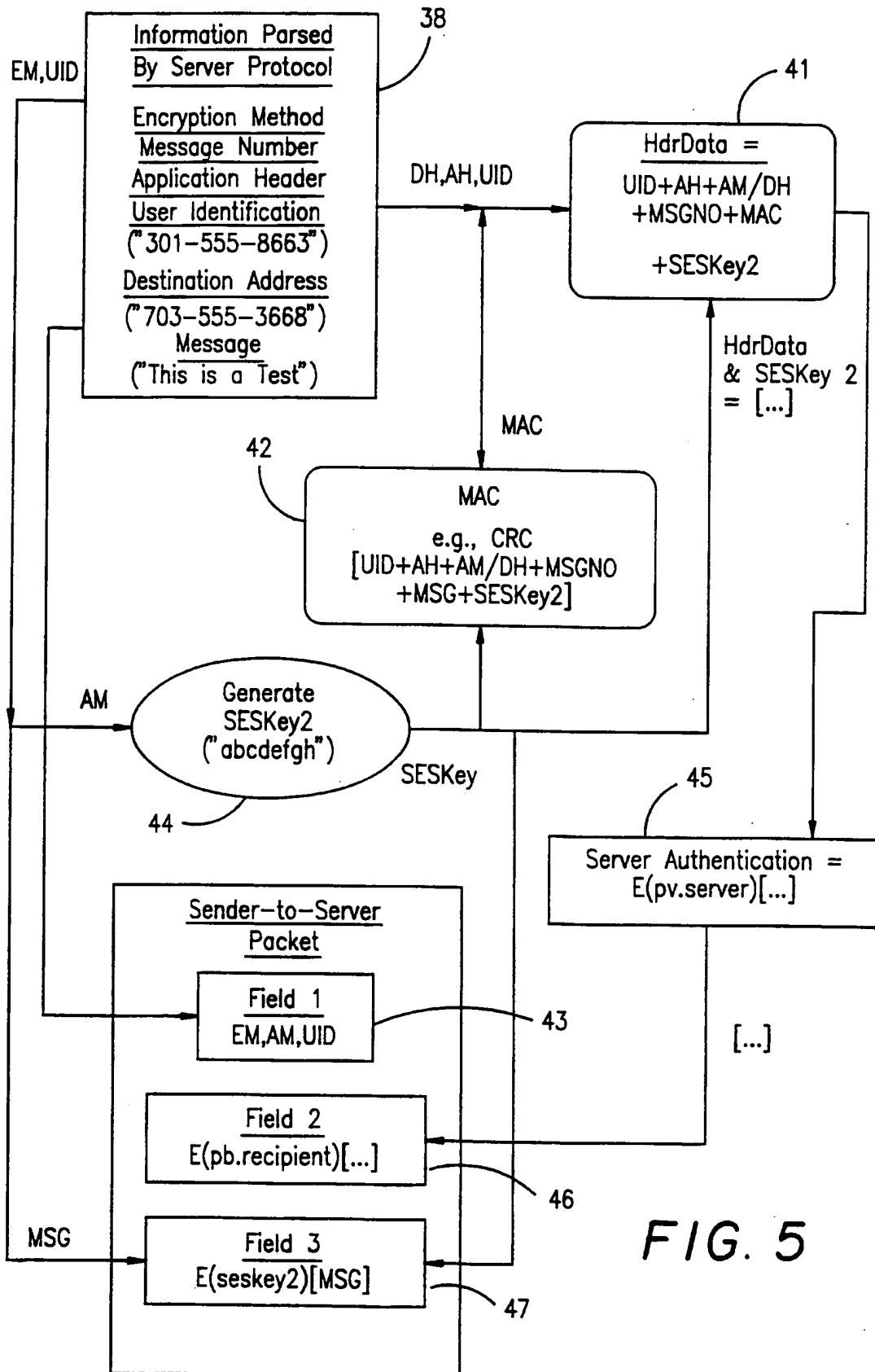


FIG. 5



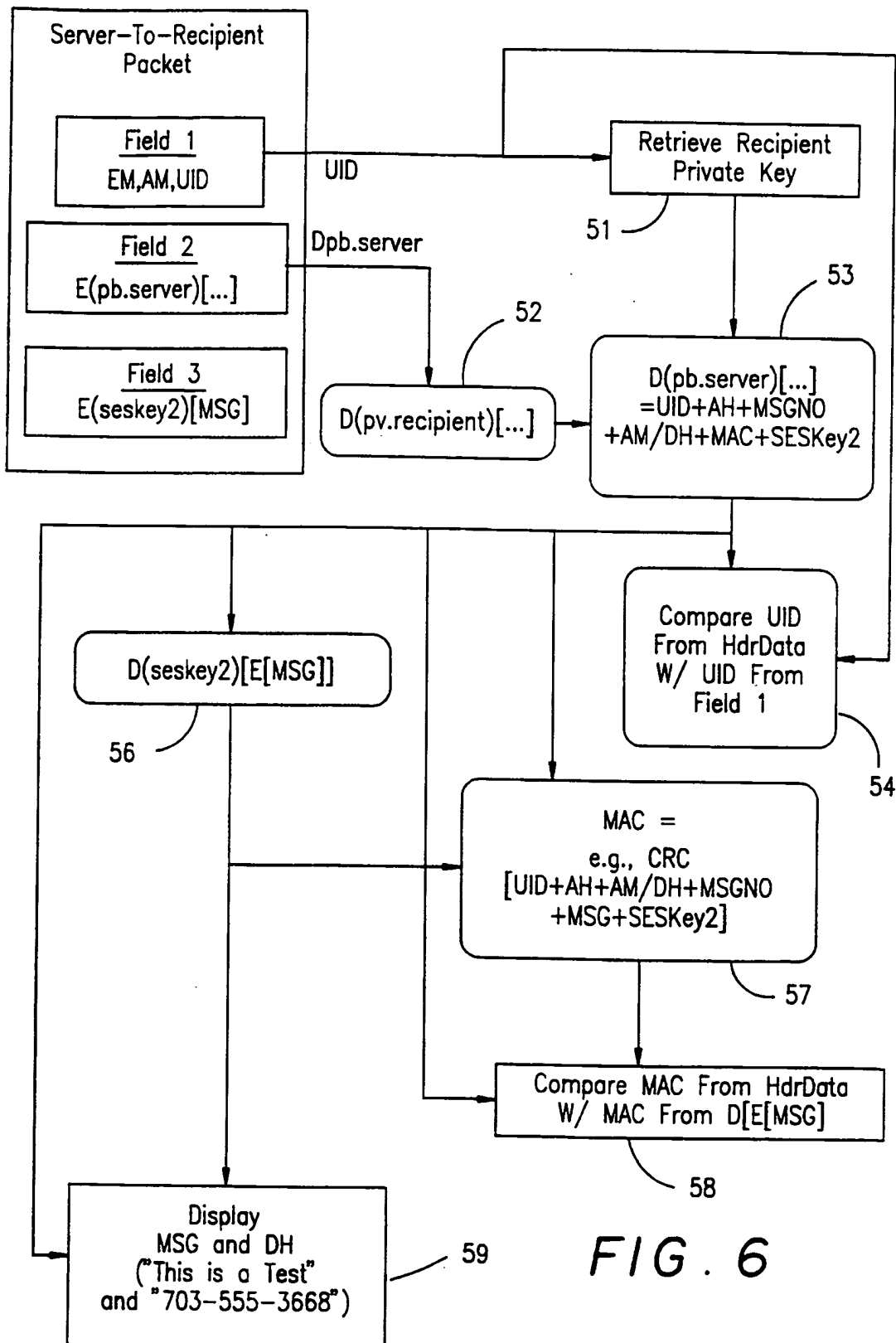


FIG. 6

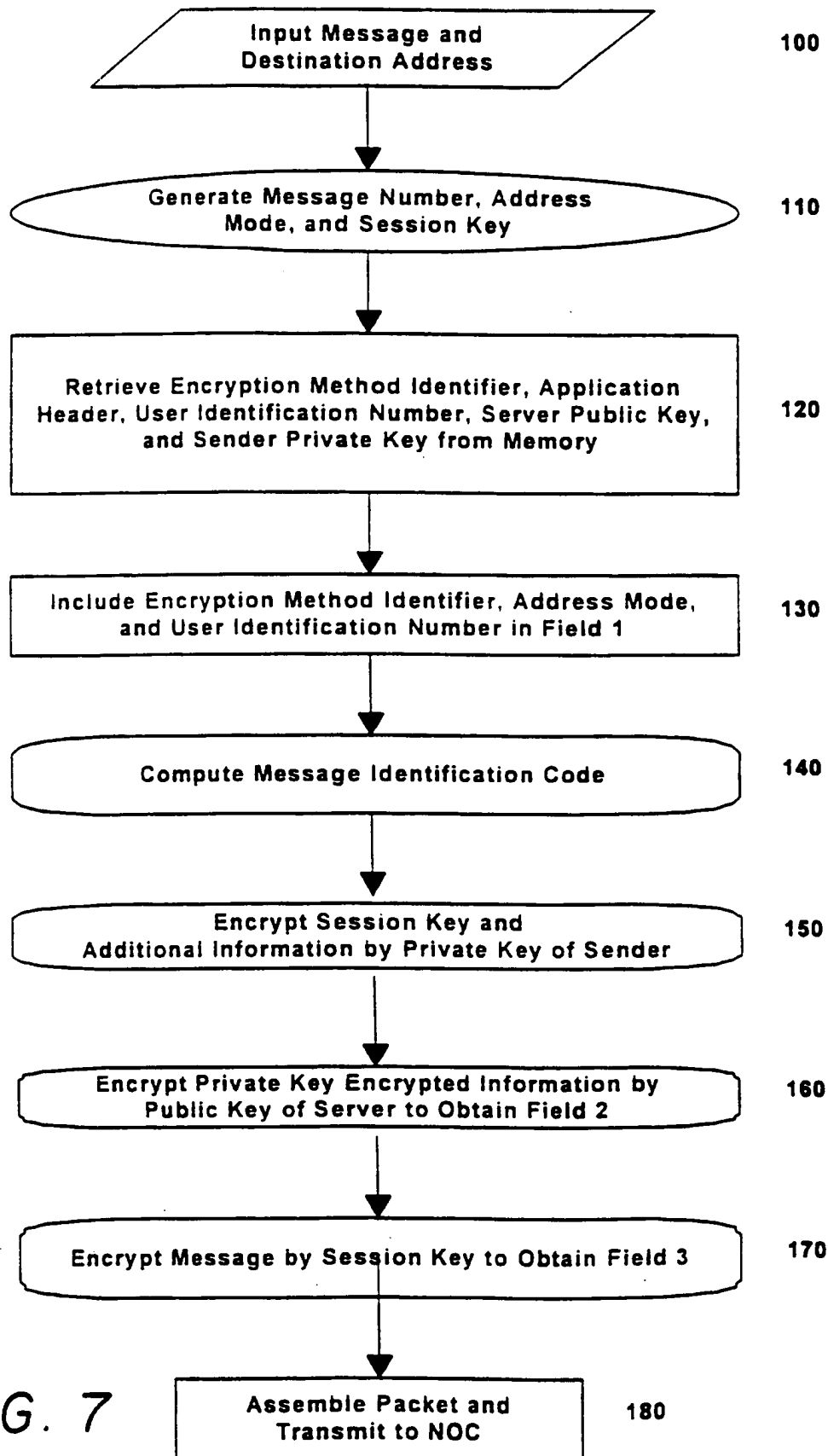
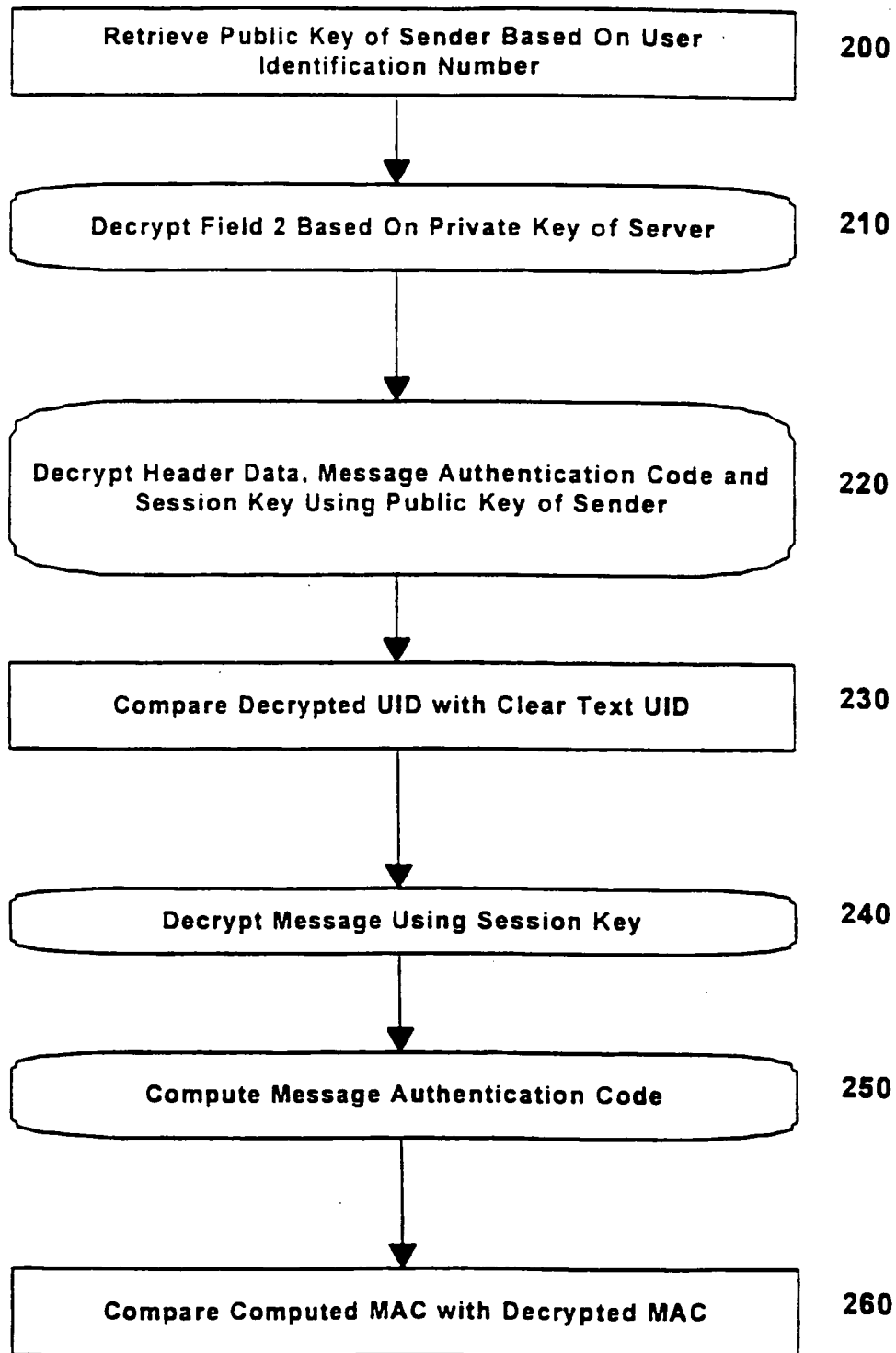
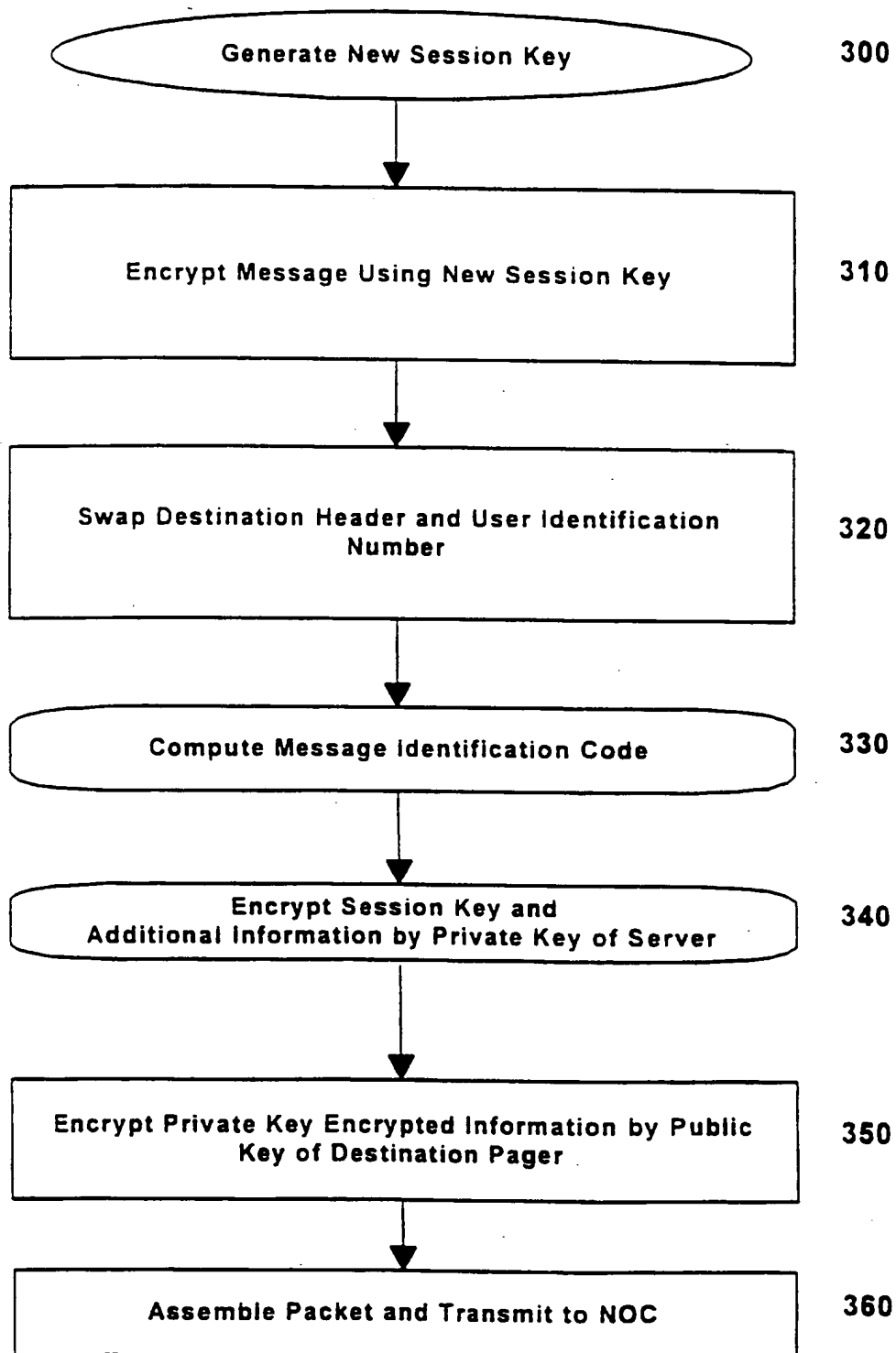
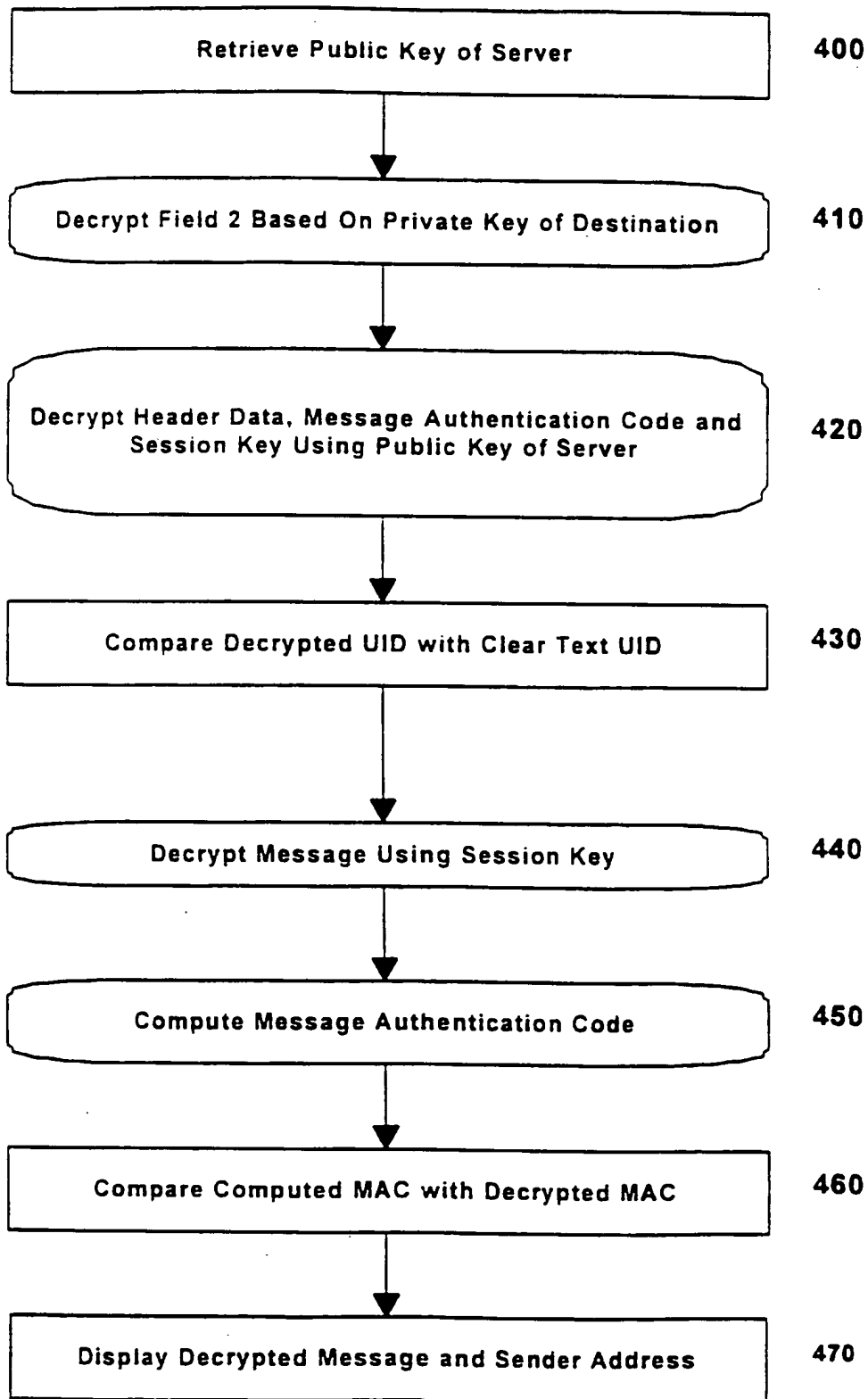


FIG. 7

*FIG. 8*

*FIG. 9*

*FIG. 10*

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US98/27531

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(6) :H04L 9/08

US CL :380/21

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 380/21,44,45,49

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Please See Extra Sheet.

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,285,496 A (FRANK et al) 08 February 1994 (08.02.94), column 2, lines 28-44, column 4, lines 12-68, column 6, lines 11-49.	1 - 31, 36 - 56, 58, 60-68, 74-78
Y	US 5,604,801 A (DOLAN et al) 18 February 1997 (18.02.97), abstract, column 3, lines 2-38, 50-60, column 4, lines 19-24, 40-55.	1-31, 36-56, 58, 60-68, 74-78
A	US 5,602,918 A (CHEN et al) 11 February 1997 (11.02.97), abstract, column 2, lines 36-41, 57-60, column 4, lines 43-63.	3-4, 6, 17-18, 27-28, 31-32, 40, 42, 53, 65, 68-70, 77
A	US 5,452,356 A (ALBERT et al) 19 September 1995 (19.09.95), column 1, lines 60-68, column 2, lines 1-42, column 11, lines 15-55.	1-78

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*B* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*Z* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search 17 FEBRUARY 1999	Date of mailing of the international search report 06 MAY 1999
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230	Authorized officer GAIL HAYES Telephone No. (703) 305-9711 <i>Jane Hill</i>

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US98/27531

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5,495,533 A (LINEHAN et al) 27 February 1996 (27.02.96), column 9, lines 42-58, column 10, lines 22-32.	7,9,35,59,69- 70,72-73

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US98/27531

## B. FIELDS SEARCHED

Electronic data bases consulted (Name of data base and where practicable terms used):

APS

search terms: cypher, cipher, encode, encrypt, decrypt, key, keys, pager, wireless, proxy server, authenticate, authentication, transmission, transmitting, key management, public key, two-way communication, re-encrypt, messages, data, information